



Moving Pennsylvania forward and creating economic opportunities for all.

Southeastern Pennsylvania Transportation Authority
DBE Program Office
1234 Market Street - 11th Floor
Philadelphia, PA 19107-3780
Telephone: (215) 580-7278
Fax: (215) 580-7261
Web Site: www.septa.org



September 17, 2015

Alice Domm, CEO/President
RICHARD GRUBB AND ASSOCIATES, INC. DBA RGA, INC.
259 Prospect Plains Road, Building D
Cranbury, NJ 08512-3706

RE: Pennsylvania Unified Certification Program
DBE Certification Approval

DBE Certification

PA UCP No: 14671

SEPTA No: 2244-0915

Anniversary Date – Annually on September 16

Dear Entrepreneur:

The Southeastern Pennsylvania Transportation Authority (SEPTA), a certifying participant in the Pennsylvania Unified Certification Program (PA UCP), has reviewed your request for certification as a Disadvantaged Business Enterprise (DBE) and is pleased to inform you that your firm appears to meet the requirements established by the United States Department of Transportation in title 49, Part 26 of the Code of Federal Regulations. Consequently, your firm is now certified as a DBE to participate in the program in the following classification(s) only:

CULTURAL RESOURCES CONSULTING: PHASE I, II AND III ARCHAEOLOGICAL SURVEYS, ARCHITECTURAL SURVEYS, HISTORIC RESEARCH, AND MONITORING

NAICS Codes:

- 541720 Research and Development in the Social Sciences and Humanities
- 541620 Environmental Consulting Services
- 541690 Other Scientific and Technical Consulting Services

DBE certification continues from the date of this letter, but is contingent upon the firm renewing its eligibility annually with our office. You will be notified in advance of your obligation to provide our office a copy of your renewal documents. These documents are also available online at www.paucp.com. However, the responsibility to assure continued certification is yours. Failure to continue your eligibility will result in immediate action to decertify the firm.

As long as your firm is listed in the PA UCP DBE Directory at www.paucp.com, you are DBE Certified in Pennsylvania. In order to maintain an accurate Directory, we are requesting that you make the PA UCP aware of any changes in your address, telephone number or specific geographical area where your firm is willing to perform work. If you wish to expand your status to include another type of business, you must contact the PA UCP for reevaluation prior to undertaking any projects as a DBE in the expanded area.

Certifying Participants:

Allegheny County

City of Philadelphia

PENNDOT

Port Authority of
Allegheny County

SEPTA

100 North 10th Street, Suite 1000
Pittsburgh, PA 15222-3000
Phone: (412) 251-2000

Philadelphia Department of
Public Works
400 Independence Mall West
Philadelphia, PA 19106
Phone: (215) 267-2000

300 North 39th Street
Harrisburg, PA 17102
Phone: (717) 785-2000

100 North 10th Street, Suite 1000
Pittsburgh, PA 15222-3000
Phone: (412) 251-2000

1234 Market Street - 11th Floor
Philadelphia, PA 19107-3780
Phone: (215) 580-7278

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In the event of a change in circumstances affecting your ability to meet size, disadvantage, ownership, and control requirements of Part 26 or any material change in the information provided in your application form; you must inform the PA UCP by means of a Notice of Change Affidavit describing in detail the nature of such changes. You must submit a Notice of Change Affidavit (also available online) within 30 days of the occurrence of the change. Failure to do so will be deemed a failure to cooperate. We would also remind you that the PA UCP reserves the right to review your firm at any time to ensure compliance with the program.

Supplier firms that wish to act as a regular dealer must be aware that regular dealer credit cannot be given for drop shipments.

Certified firms with a "trucking" classification must be aware that certain conditions must be met in order to be considered commercially useful. Foremost, the DBE trucking firm must be responsible for the management and supervision of the entire trucking operation for which it is responsible on a particular contract, and there cannot be a contrived arrangement for the purpose of meeting DBE goals. The DBE trucking firm must itself own and operate at least one fully licensed, insured, and operational truck used on the contract. For a full list of these conditions, consult Part 26.65(d) (1) through (6) of the aforementioned regulations.

We are pleased to have you as a Disadvantaged Business Enterprise and wish you success in acquiring work within the DBE Program. If you have any questions, please contact Tiffany L King, DBE Program Specialist at (215) 580-7385.

Sincerely,



Mary E. Connell
Director
DBE Program Office
SEPTA

MEC:TLK

Enclosures: SEPTA Disadvantaged Business Enterprise (DBE) Policy Statement
Notice of Change Affidavit

Certifying Participants:

Allegheny County
Office of Economic Development
100 North Park Street, Suite 1000
Pittsburgh, PA 15222

City of Philadelphia
Department of Transportation
DBE Program Office
1515 Market Street, Suite 1000
Philadelphia, PA 19102

PENNDOT
Division of Equal
Opportunity
300 North 3rd Street
Harrisburg, PA 17102

Port Authority of
Allegheny County
Office of Equal
Opportunity
100 North Park Street, Suite 1000
Pittsburgh, PA 15222

SEPTA
DBE Program Office
100 North Park Street, Suite 1000
Pittsburgh, PA 15222